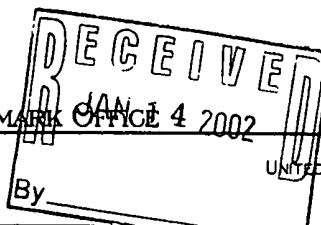




20695C-00

WUS LRB

Page 1 of 2



20695C-02100US

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20230  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,223	12/10/2001	Artur Mitterer	V-259.00

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 9005

Baxter Healthcare Corporation  
P.O. Box 15210  
Irvine, CA 92614

FORMALITIES LETTER



\*OC000000007270934\*

Date Mailed: 01/07/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- ◻ For Rules Interpretation, call (703) 308-4216
- ◻ To Purchase PatentIn Software, call (703) 306-2600

RECH CENTER 1600/2900

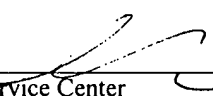
JUL 29 2002

RECEIVED

- For Patent Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice **MUST** be returned with the reply.*



---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/006,223
Filing Date	December 10, 2001
First Named Inventor	MITTERER
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	20695C-002100US

Total Number of Pages in This Submission

2

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) 2

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s)  
(please identify below):

COPY OF PAPERS  
ORIGINALLY FILED

Authorization to charge \$130 to Deposit  
Account No. 20-1430 for surcharge;  
Executed Declaration - Utility or Design  
Patent Application (37 CFR 1.63) (2 pgs.);  
Copy of Notice to File Missing Parts (2  
pgs.); Certificate of First Class Mailing;  
and Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

A Sequence Listing response has been mailed under separate cover to the address  
indicated on the Attachment to "Notice to Comply with Requirements...Sequence  
Disclosures" which accompanied the Notice to File Missing Parts mailed January 7,  
2002.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Kevin L. Bastian	Reg. No. 34,774
Signature		
Date	March 7, 2002	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first  
class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

March 7, 2002

Typed or printed name

Jill R. Clarke

Signature

Date

March 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark  
Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for  
Patents, Washington, DC 20231.

JUL 29 2002

RECEIVED

Please type a plus sign (+) in this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number	10/006,223
Filing Date	December 10, 2001
First Named Inventor	
Group Art Unit	
Examiner Name	Not yet assigned
Attorney Docket Number	20695C-002100US

Total Number of Pages in This Submission

12

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement

**COPY OF PAPERS  
ORIGINALLY FILED**

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund

☐ CD, Number of CD(s)

- ☐ After Allowance Communication Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

Response to Notice of Incomplete Reply (Nonprovisional):  
Photocopy of Return Postcard Filing Acknowledgement for Missing Parts Response date-stamped by the USPTO mailroom on 3/18/02;  
Photocopy of Missing Parts Response as filed on March 7, 2002: Transmittal Form (1 page), Fee Transmittal Form with authorization to Charge Deposit Account No. 20-1430 in the amount of \$130 for the surcharge due (1 page + 1 copy), Executed Declaration - Utility or Design Patent Application (37 CFR 1.63) (2 pages), Notice to File Missing Parts (3 pages); Copy of Notice of Incomplete Reply; and Return Postcard

- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

### Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

The Notice of Incomplete Response incorrectly indicates that a Declaration was not submitted with the Response to Notice to File Missing Parts filed on March 7, 2002. The Response filed on March 7, 2002 was complete and proper as evident on the enclosed postcard receipt date-stamped by the USPTO mailroom on March 18, 2002, acknowledging that an executed Declaration was enclosed in the Response to Notice to File Missing Parts. Because the Response mailed on March 7, 2002 was complete, no extension of time is believed due. However, if necessary, please charge Deposit Account No. 20-1430 for an appropriate extension of time due.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Kevin Bastian	Reg. No. 34,774
Signature		
Date	July 8, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 8, 2002

Typed or printed name

Jill R. Clarke

Signature

Date

July 8, 2002

RECEIVED  
JUL 29 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number 10/006,223  
Filing Date December 10, 2001  
First Named Inventor MITTERER  
Examiner Name Not yet assigned  
Group Art Unit 1651  
Attorney Docket No. 20695C-002100US

COPY OF PAPERS  
ORIGINALLY FILED

AMOUNT OF PAYMENT (\$) 130

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20**	X	
Multiple Dependent	-3**	X	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Sheet
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)130

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Kevin L. Bastian

Registration No. (Attorney/Agent)

34,774

Telephone

415-576-0200

Signature

Date

March 7, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1322826 v1



COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
JUL 29 2002  
TECH CENTER 1699/2900

FILING ACKNOWLEDGMENT  
US PATENT AND TRADEMARK OFFICE

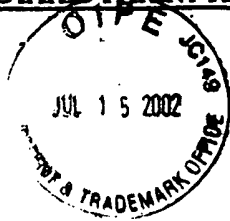
Mailing Date: 03/07/02 Attorney: KLB/jrc  
Date Due: 03/07/02 Appln: 10/006,223  
File No.: 20695C-002100US  
Inventor(s): MITTERER, et al.  
Title: METHOD OF ISOLATION AND PURIFICATION OF TRYPSIN FROM PRONASE AND USE THEREOF  
Enclosures: Transmittal Form (Form PTO/SB/21) (1 pg. + 1 pg. copy), Fee Transmittal (Form PTO/SB/17) (1 pg. + 1 pg. copy), Authorization to charge \$130 to Deposit Account No. 20-1430 for surcharge; Executed Declaration - Utility or Design Patent Application (37 CFR 1.63) (2 pgs.); Copy of Notice to File Missing Parts (3 pgs.); Certificate of First Class Mailing; and Return Postcard (this one).

Please stamp the date of receipt of the enclosed documents and return this card to addressee.

APR 03 2002



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

**ATTACHMENT TO "NOTICE TO COMPLY WITH  
REQUIREMENTS...SEQUENCE DISCLOSURES"**

**COPY OF PAPERS  
ORIGINALLY FILED**

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be submitted using one of the following methods:

1. Electronically submitted through EFS-Bio  
(<http://www.uspto.gov/ebs/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
2. Mailed to:  
U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202
3. Mailed by Federal Express, United Parcel Service or other delivery service to:  
U. S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Box Sequence  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202
4. Hand Carried directly to the Customer Window at:  
2011 South Clark Place  
Crystal Plaza Two, Lobby, Room 1B03, Box Sequence,  
Arlington, Virginia 22202

RECEIVED  
JUL 29 2002  
TECH CENTER 1600/2900



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,223	12/10/2001	Artur Mitterer	20695C-002100US

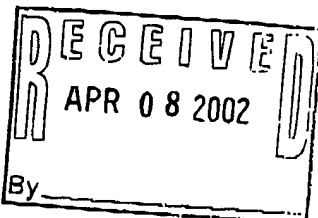
CONFIRMATION NO. 9005

## FORMALITIES LETTER



\*OC000000007768846\*

Baxter Healthcare Corporation  
P.O. Box 15210  
Irvine, CA 92614



Date Mailed: 04/02/2002



259.00

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

COPY OF PAPERS  
ORIGINALLY FILED

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 03/07/2002 to the Notice to File Missing Parts (Notice) mailed 01/07/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

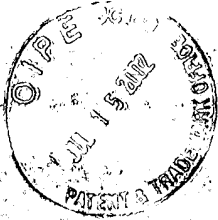
DATE	259.00
EXT. DATE	4/02/02
FINAL DATE	7/10/02
SUBJECT	resp to incomplete

RECEIVED

JUL 29 2002

TECH CENTER 1600/2900





20298-10210705 EIV

TOWNSEND

and

TOWNSEND

and

CREW

III

1001 Fulton Avenue Center  
8th Floor  
San Francisco  
California 94111-3834

|||||  
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COPY OF P. 218  
ORIGINALLY 150

